

LEAD FINANCIAL SERVICES LTD.

Registered Office

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Kareel Bagh, New Delhi-110005
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(for grievance redressal division)

CIN : L74140DL1993PLC053485

Quarterly Compliance Report on Corporate Governance

Name of the Company: **Lead Financial Services Limited**

Quarter ending on: **30th September, 2015**

| Particulars | Clause of Listing Agreement | Compliance Status Yes/No/N.A | Remarks |
|-------------------------------------------------------------------------|-----------------------------|------------------------------|---------------------------------------------------------|
| II Board of Director | 49 (II) | | |
| (A) Composition of Board | 49 (IIA) | Yes | - |
| (B) Independent Directors | 49 (IIB) | Yes | - |
| (C) Non executive Directors' compensation & disclosures | 49 (IIC) | Yes | - |
| (D) Other provisions as to Board and committees | 49 (IID) | Yes | - |
| (E) Code of conduct | 49 (IIE) | Yes | - |
| (F) Whistle Blower Policy | 49 (IIF) | Yes | - |
| III. Audit Committee | 49 (III) | Yes | - |
| (A) Qualified & Independent Audit committee | 49 (IIIA) | Yes | - |
| (B) Meeting of Audit Committee | 49 (IIIB) | Yes | - |
| (C) Powers of Audit Committee | 49 (IIIC) | Yes | - |
| (D) Role of Audit Committee | 49 (IIID) | Yes | - |
| (E) Review of information by Audit Committee | 49 (IIIE) | Yes | - |
| IV. Nomination and Remuneration Committee | 49 (IV) | Yes | - |
| V. Subsidiary Companies | 49 (V) | N.A | - |
| VI. Risk Management | 49 (VI) | N.A | - |
| VII. Related party transactions | 49 (VII) | Yes | - |
| VIII. Disclosures | 49 (VIII) | | |
| (A) Related party transactions | 49 (VIIIA) | Yes | - |
| (B) Disclosure of Accounting Treatment | 49 (VIIIB) | Yes | - |
| (C) Remuneration of Directors | 49 (IIIC) | N.A | Non-Executive Directors do not receive any remuneration |
| (D) Management | 49 (VIIID) | Yes | - |
| (E) Shareholders | 49 (VIIIE) | Yes | - |
| (F) Proceeds from public issue, rights issue, preferential issues, etc. | 49 (VIIIF) | N.A | - |
| IX. CEO/CFO Certification | 49 (IX) | Yes | - |
| X. Report on Corporate Governance | 49 (X) | Yes | - |
| XI. Compliance | 49 (XI) | Yes | - |

For and on behalf of
Lead Financial Services Limited

Sd/-

P. C. Bindal
Chairman

Date: 09/10/2015

Place: New Delhi