

General information about company

Scrip code	531288
NSE Symbol	N.A.
MSEI Symbol	N.A.
ISIN	INE531D01010
Name of the company	LEAD FINANCIAL SERVICES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg: y	Total	
(A)	Promoter & Promoter Group	9	931520			931520	28.23	931520		931520	28.23
(B)	Public	1804	2368480			2368480	71.77	2368480		2368480	71.77
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1813	3300000			3300000	100	3300000		3300000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				28.23					931520
(B)	Public				71.77					998000
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					1929520

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	7	637020			637020	19.3	637020		637020	19.3
(d)	Any Other (specify)	2	294500			294500	8.92	294500		294500	8.92
Sub-Total (A) (1)		9	931520			931520	28.23	931520		931520	28.23
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		9	931520			931520	28.23	931520		931520	28.23
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(f)	Financial Institutions/ Banks	1	17988			17988	0.55	17988		17988	0.55
Sub-Total (B) (1)		1	17988			17988	0.55	17988		17988	0.55
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1709	647367			647367	19.62	647367		647367	19.62
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	16	1144178			1144178	34.67	1144178		1144178	34.67
(c)	Any Other (specify)	78	558947			558947	16.94	558947		558947	16.94
Sub-Total (B) (3)		1803	2350492			2350492	71.23	2350492		2350492	71.23
Total Public Shareholding (B) = (B)(1) + (B)(2) + (B)(3)		1804	2368480			2368480	71.77	2368480		2368480	71.77
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1813	3300000			3300000	100	3300000		3300000	100
Total (A+B+C)		1813	3300000			3300000	100	3300000		3300000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				19.3					637020
(d)				8.92					294500
Sub-Total (A) (1)				28.23					931520
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				28.23					931520
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0.55					17988
Sub-Total (B) (1)				0.55					17988
(3)	Non-institutions								
(a(i))				19.62					97967
(a(ii))				34.67					838858
(e)				16.94					43187
Sub-Total (B) (3)				71.23					980012
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				71.77					998000
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					1929520
Total (A+B+C)				100					1929520

Individuals/Hindu undivided Family							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	D.k. Bindal	K C Gupta	Kusha Bindal	Manushree Bindal	Padam Chandra Bindal	Reni Gupta	Suman Bindal
PAN (II)	AEBPB5205P	AAGPG6970P	BCJPK4914P	AIHPB0079P	AAHPB5039K	AAJPG3264D	AALPB8120H
No. of fully paid up equity shares held (IV)	4510	20810	161000	189000	195200	6500	60000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4510	20810	161000	189000	195200	6500	60000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.14	0.63	4.88	5.73	5.92	0.2	1.82
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	4510	20810	161000	189000	195200	6500	60000
Class eg: y							
Total	4510	20810	161000	189000	195200	6500	60000
Total as a % of Total Voting rights	0.14	0.63	4.88	5.73	5.92	0.2	1.82
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(Xi)(a) As a % of (A+B+C2)	0.14	0.63	4.88	5.73	5.92	0.2	1.82
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	4510	20810	161000	189000	195200	6500	60000
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type							

Individuals/Hindu undivided Family	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	637020
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	637020
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.3
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	637020
Class eg:y	
Total	637020
Total as a % of Total Voting rights	19.3
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	19.3
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	637020
Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

Any Other (specify)

Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Lfs Services Private Limited	Privy Capital Limited	
PAN (II)	AAACL2218C	AAACL0379C	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	110000	184500	294500
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)- (VI)	110000	184500	294500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.33	5.59	8.92
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	110000	184500	294500
Class eg:y			
Total	110000	184500	294500
Total as a % of Total Voting rights	3.33	5.59	8.92
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.33	5.59	8.92
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	110000	184500	294500
Reason for not providing PAN			
Reason for not			

providing PAN			
Shareholder type			

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	Arti	Ashish Sharma	Bhagwat Dass	Bijender Singh	Jectender Sharma	Mahesh G Biyani	Pramod Chandrakant Shah
PAN (II)	BQAPA4091C	BBGPA8543Q	AGCPD7988A		AUIPS3861K	ADFPB5233Q	ADWPS9392Q
No. of fully paid up equity shares held (IV)	40000	94000	129500	82600	44920	48845	34465
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	40000	94000	129500	82600	44920	48845	34465
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.21	2.85	3.92	2.5	1.36	1.48	1.04
Number of Voting Rights held in each class of securities (IX)							
Class eg. X	40000	94000	129500	82600	44920	48845	34465
Class eg:y							
Total	40000	94000	129500	82600	44920	48845	34465
Total as a % of Total Voting rights	1.21	2.85	3.92	2.5	1.36	1.48	1.04
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.21	2.85	3.92	2.5	1.36	1.48	1.04
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	0	0	129500	82600	0	48845	34465
Reason for not providing PAN							
Reason for not providing PAN							

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
Serial No.	8	9	10	11	12	13
Name of the Shareholders (I)	Sanjay Jasubhai Desai	Sanjaykumar Sarawagi	Secema Bansal	Shalu Sharma	Sharali Mawai	Sudesh Gupta
PAN (II)	ABOPD1867D	AEGPS6613F	AAGPB6708C	BPJPS3961M	ALFPM4351A	AGYPG4334M
No. of fully paid up equity shares held (IV)	131179	69641	108400	100000	75000	103000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	131179	69641	108400	100000	75000	103000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.98	2.11	3.28	3.03	2.27	3.12
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	131179	69641	108400	100000	75000	103000
Class eg: y						
Total	131179	69641	108400	100000	75000	103000
Total as a % of Total Voting rights	3.98	2.11	3.28	3.03	2.27	3.12
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.98	2.11	3.28	3.03	2.27	3.12
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	131179	69641	108400	100000	0	103000
Reason for not providing PAN						
Reason for not providing PAN						

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	1061550
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1061550
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	32.17
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	1061550
Class eg:y	
Total	1061550
Total as a % of Total Voting rights	32.17
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	32.17
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	807630
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Bodies Corporate	Clearing Members	Non-Resident Indian (NRI)	HUF	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					Click here to go back
PAN (II)					Total
No. of the Shareholders (I)	57	1	9	11	78
No. of fully paid up equity shares held (IV)	449986	323	67060	41578	558947
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	449986	323	67060	41578	558947
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.64	0.01	2.03	1.26	16.94
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	449986	323	67060	41578	558947
Class eg:y					
Total	449986	323	67060	41578	558947
Total as a % of Total Voting rights	13.64	0.01	2.03	1.26	16.94
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	13.64	0.01	2.03	1.26	16.94
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	1226	323	60	41578	43187
Reason for not providing PAN					
Reason for not providing PAN					

significant beneficial owners

s of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:				
PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
									Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1 tra l	AAHPB5039K		India	Padam Chandra Bindal	AAHPB5039K		India		5.92	5.92	5.92	No	Yes	3:
1 tra l	AAHPB5039K		India	Lfs Services Private Limited	AAACL2218C		India		3.33	3.33	3.33	No	Yes	3:
1 tra l	AAHPB5039K		India	Privy Capital Limited	AAACL0379C		India		5.59	5.59	5.59	No	Yes	3:
Total:									14.84	14.84	14.84			